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United States Foreign Intelligence Surveillance  
Court of Review

In re: Directives to Yahoo, Inc. )  
pursuant to Section 105B of the ) Case No. 08-01  
Foreign Intelligence Surveillance Act )

BEFORE: The Presiding Honorable Bruce M. Selya  
Honorable Ralph K. Winter, Jr.  
Honorable Morris S. Arnold

United States District Court  
Courtroom No. 3  
One Exchange Terrace  
Providence, Rhode Island  
June 19, 2008, 10:30 a.m.

[REDACTED] RDR, CRR  
Official Court Reporter  
United States District Court  
595 Main Street, Room 514A  
Worcester, MA 01608-2093  
[REDACTED]

Mechanical Steno - Transcript by Computer

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1 Present:

2 Gregory G. Garre, Acting Solicitor General  
3 J. Patrick Rowan, Acting Assistant Attorney General  
4 Mathew G. Olsen, National Security Division  
[REDACTED] Office of Legal Counsel  
[REDACTED] National Security Division  
for the Government

5 Marc J. Zwillinger, Esquire

6 [REDACTED]  
7 Jacob Summers, Law Clerk  
[REDACTED]

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Argument by:

Marc J. Zwillinger, Esquire

Gregory G. Garre, Acting Solicitor General

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P R O C E E D I N G S

THE CLERK: The Honorable Court. All rise.

The Honorable -- the United States Foreign Intelligence Surveillance Court of Review is now in session. All persons having any business before the Honorable Court may draw near, give their attendance, and they shall be heard. God save the United States of America and this Honorable Court.

You may be seated.

JUSTICE SELYA: Good morning.

THE CLERK: Case No. 08-01, in re: Directives to Yahoo, Inc. pursuant to Section 105B of the Foreign Intelligence Surveillance Act.

Each side is allotted 45 minutes for argument.

JUSTICE SELYA: You may proceed, Counsel.

MR. ZWILLINGER: Good morning. May it please the court, my name is Marc Zwillinger, and I appear on behalf of Yahoo. I would like to save 15 minutes of my time for rebuttal.

JUSTICE SELYA: I'm afraid that's -- that's a bit too long. We'll allow you to reserve five.

MR. ZWILLINGER: Okay. Thank you, your Honor.

JUSTICE SELYA: That will be deducted from your opening time.

MR. ZWILLINGER: Obviously, this is a highly unusual

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1 case, and it comes on an unusual posture, because there was no  
2 hearing, nor was there argument below. So, I would like to  
3 start by making a few initial observations that I think would  
4 be of substantial assistance to the Court in deciding the  
5 issues before it. And the first has to do with the nature of  
6 the surveillance at issue.

7 I have been representing Yahoo on government  
8 compliance matters for six years; and before that I was a  
9 government prosecutor myself, with a top secret security  
10 clearance in the computer crime section of the Department of  
11 Justice. I requested surveillance, and I've read the fruits of  
12 surveillance. Neither I, nor Yahoo, have the naive  
13 understanding of the importance of surveillance, the  
14 government's mission in protecting this country.

15 JUSTICE ARNOLD: Counsel, could I ask before you talk  
16 about that part about the jurisdictional point, assuming  
17 that -- that we were to decide that your opposition to the  
18 motion to compel was not an application within the meaning of  
19 the statute, what is your -- what -- what's your jurisdictional  
20 basis for being here?

21 MR. ZWILLINGER: Well, you put your finger on the one  
22 point in the case where the government and Yahoo both agree,  
23 which is that Yahoo's opposition --

24 JUSTICE ARNOLD: They agree, but they can't confer  
25 jurisdiction on the Court.

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1 MR. ZWILLINGER: That's right, your Honor.

2 JUSTICE ARNOLD: And also they said that the reason  
3 they've agreed was that if they lost they thought they would be  
4 arguing that we would have jurisdiction over a petition from  
5 them, and that's not -- that's not a legal reason assuming that  
6 we have jurisdiction.

7 So what is your jurisdictional basis?

8 MR. ZWILLINGER: The jurisdictional basis, your Honor,  
9 is that our opposition to the motion to compel should be  
10 treated like a petition for purposes of appellate jurisdiction.  
11 That is to not treat it as a petition would elevate the form of  
12 it over the substance. We could have titled our --

13 JUSTICE ARNOLD: What part of the statute would give  
14 us jurisdiction?

15 MR. ZWILLINGER: 1805B(i) would jurisdiction over a  
16 petition.

17 JUSTICE ARNOLD: Does that require a petition to be  
18 made to this Court within a particular time?

19 MR. ZWILLINGER: The statute doesn't require petition  
20 to be made in a particular time. The draft rules for the  
21 Foreign Intelligence Surveillance Court specify promptly, but  
22 the statute itself doesn't require the petition to be made in  
23 any certain period of time after the directives are received.

24 JUSTICE ARNOLD: But this says not later than seven  
25 days after the issuance of a decision; isn't that right?

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1 MR. ZWILLINGER: Well, we did file our petition for  
2 review not later than seven days after the issuance of the  
3 decision below.

4 JUSTICE ARNOLD: That's what I want to make sure.  
5 Thank you.

6 MR. ZWILLINGER: Yes, we did. So, where I thought  
7 I -- it was worthwhile to start is to talk about the nature of  
8 the surveillance, because this is unlike any surveillance that  
9 takes place under any other statute, and I have brought with  
10 me, which I think the Court would benefit from, to view the  
11 tasking orders that Yahoo has received. This is something we  
12 could not have presented to the lower court, because we did not  
13 receive them until after the lower court asked -- insisted that  
14 we comply with the directives.

15 JUSTICE ARNOLD: Well, I'm sorry to interrupt you  
16 again, Counsel, but let me ask you another question, I think is  
17 prior, at least as a logical matter in my mind, and this is the  
18 issue of standing. What is your injury?

19 MR. ZWILLINGER: Well, our injury, your Honor, is that  
20 we're being forced to redirect our resources to compel with  
21 what is an incredibly broad and pervasive surveillance regime.

22 JUSTICE ARNOLD: Doesn't the statute compensate you  
23 for that?

24 MR. ZWILLINGER: It does.

25 JUSTICE ARNOLD: Don't you get compensation?

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1           MR. ZWILLINGER: But the compensation that it provides  
2 in terms of financial compensation doesn't compensate us for  
3 the full injury that we suffer. One of the most important  
4 things that people use Yahoo for is they understand that their  
5 private communications will go back and forth between -- on --  
6 between their --

7           JUSTICE ARNOLD: Well, if this order is enforced and  
8 it's secret, how can you be hurt? The people don't know  
9 that -- that they're being monitored in some way.

10           How can you be harmed by it? I mean, what's -- what's  
11 the -- what's your -- what's the damage to your consumer?

12           MR. ZWILLINGER: Well, generally, your Honor, I think  
13 the perception that widespread wiretapping is a trend under the  
14 PAA is well known without having --

15           JUSTICE ARNOLD: Well, that is true whether we enforce  
16 this order or not; isn't that right? The perception would  
17 still be there, so the market's already discounted for any  
18 injury that you might have -- you might suffer.

19           MR. ZWILLINGER: Well, I think there's two components  
20 to the injury. The first is -- the compensation -- financial  
21 compensation for complying with the government's order does not  
22 compensate us for the injury of participating in the  
23 surveillance. We are being asked and compelled, we believe, to  
24 participate in surveillance that we believe violates the  
25 Constitution of the United States. If that is so, that is an

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1 injury.

2 JUSTICE ARNOLD: Would an injury give you standing?

3 MR. ZWILLINGER: I certainly believe it is, your  
4 Honor. We are being asked -- we are being --

5 JUSTICE ARNOLD: Well, I would like to make just one  
6 more point and let you go on. If, in fact, you're being  
7 injured by what you call a perception among consumers that  
8 their privacy might be being violated, that's true of all your  
9 competitors, too, isn't it? So, what -- you don't really have  
10 a competitor here, do you?

11 MR. ZWILLINGER: Well, according to the government,

12 [REDACTED]

13 [REDACTED] but I would --

14 JUSTICE ARNOLD: So, I guess people might be using  
15 other forms of communication; they might be substituting mail  
16 or something like that. Okay.

17 MR. ZWILLINGER: If I might, your Honor, I think the  
18 Court would significantly benefit if I could pass up to the  
19 clerk copies of the tasking orders that we've received. I have  
20 copies for the government as well. These are redacted, of  
21 course, to obscure the identity of the [REDACTED] at issue.

22 What I've handed the Court is a tasking order. This  
23 is what Yahoo receives from the government. When the  
24 directives say that [REDACTED] the government will

25 [REDACTED]

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1 they mean that since [REDACTED]  
2 [REDACTED]  
3 You're looking at [REDACTED] list that identifies  
4 to Yahoo the e-mail accounts that are supposed to be placed  
5 under surveillance; and [REDACTED]

6 [REDACTED]  
7 [REDACTED]  
8 [REDACTED]  
9 [REDACTED]  
10 [REDACTED]  
11 [REDACTED]  
12 [REDACTED]  
13 Why I show this to you is because I think it's a  
14 perfectly fair question for you to ask the Solicitor General of  
15 the United States how a name gets on this list. This isn't  
16 reviewed by a -- the FISA Court. These names aren't reviewed  
17 by the Attorney General of the United States. The difference  
18 between surveilling an account and exposing someone's most  
19 private communications and not is how a name gets on this list;  
20 and all we know about it from page 47 of their brief, is that  
21 an intelligence analyst puts it on the list.

22 [REDACTED] of the accounts we have been given do  
23 not exist. They aren't accounts at Yahoo. Whether the  
24 government is misinformed, or using stale information, we don't  
25 know. But the fact that [REDACTED] accounts do not exist raises a

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1 serious possibility that some of those accounts have already  
2 been recycled and are used by other Yahoo users, or that the  
3 information that the government has is just wrong, and the  
4 wrong account is being placed under surveillance.

5 I make this point also, because in reviewing the  
6 cases, I read the concurrence in the Keith opinion by Justice  
7 Douglas, and he said he was aghast at the notion that 900  
8 conversations had been intercepted under the warrantless  
9 domestic surveillance.

10 We are just one provider. We have [REDACTED] accounts  
11 placed under surveillance in [REDACTED]. That's the magnitude  
12 of the surveillance we're talking about. I think that does  
13 lead to the impression that widespread surveillance is rampant  
14 under the PAA.

15 The other thing I wanted to talk about is the location  
16 of the surveillance, because even though you can't tell this  
17 from reading the lower court opinion, the surveillance is being  
18 set in the United States, in Sunnyvale, California, by the same  
19 team of compliance paralegals that set surveillance for  
20 Title III orders, or for FISA orders.

21 Why is that important? Because the cases like United  
22 States versus Bin Laden that talk about the difficulties of  
23 getting a warrant for foreign intelligence information talked  
24 about it in the context of the difficulty of dealing with  
25 foreign law enforcement, or the difficulty of serving a warrant

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1 on a foreign provider, and the lack of jurisdiction, but this  
2 is right here in the United States, which leads me to the more  
3 important point, and the one most significant mistake the FISA  
4 Court made. If the government mistargets, the consequences of  
5 that will be felt here in the United States by a United States  
6 person. This is not a phone exchange in Abu Dhabi where if  
7 they're off by one digit, they're likely to get a different  
8 telephone user in Abu Dhabi, who's not likely to be a U.S.  
9 person.

10 The difference between a U.S. person and a non-U.S.  
11 person in this context could be a letter or a digit in an email  
12 address; and if they have it wrong, the consequences will  
13 likely be felt here, because more Yahoo users are from the  
14 United States than any other single country.

15 JUSTICE WINTER: And what will such a user feel?

16 MR. ZWILLINGER: Because of the surreptitious nature  
17 of the surveillance, they wouldn't feel anything. Their  
18 accounts would be surveilled. Their private communications  
19 would be disclosed. [REDACTED]  
20 They would make their way on to some government list.

21 JUSTICE WINTER: Aren't the -- aren't the  
22 probabilities that whoever saw these communications in the  
23 government isn't there a probability that that person would  
24 have no idea who it was that sent them and would have  
25 absolutely no use for them, and that it would be an enormous

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1 coincidence if by chance somebody would recognize it?

2 MR. ZWILLINGER: No, I don't think that's right,  
3 because [REDACTED]

4 [REDACTED]  
5 [REDACTED] and the communications themselves often  
6 contain private revealing data about who is sending it; that  
7 is, when you send an email, your signature is often at the  
8 bottom of it.

9 JUSTICE WINTER: Yeah, but if I'm somebody who's  
10 looking at this, and it's John Jones in Jacksonville, Florida,  
11 and I -- aren't there procedures under which this can't be  
12 retained? I mean, how likely is it that we're going to have  
13 any use whatsoever, that anyone would have any use whatsoever,  
14 of information in the state that can be counted?

15 MR. ZWILLINGER: That's an excellent question, your  
16 Honor, and I would ask you to ask the Solicitor General for two  
17 reasons. One is part of the procedures are redacted, and we  
18 have not had a chance to see them.

19 JUSTICE SELYA: Yeah, but you know there are  
20 minimization procedures.

21 MR. ZWILLINGER: But the minimization procedures don't  
22 prevent the -- all subsequent use of the information. In fact,  
23 Congress when they're looking -- they've been looking at  
24 redoing the statute, right, because the PAA has lapsed. If you  
25 look at the Senate report that the government cited with regard

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1 to the new statute, Senate 2248, which has not yet been passed,  
2 the Senate report says one of the problems with the PAA is  
3 there weren't restrictions placed on the government as to what  
4 they could do with the information once they obtained it.

5 So, to the extent you are questioning how the  
6 government can use the information, I'm not the authority.

7 JUSTICE WINTER: I'm questioning it, because  
8 you -- you are telling me -- you did tell us that there were  
9 consequences being felt by individuals in the United States,  
10 and that seems to me far from clear in these circumstances. It  
11 seems to me it would be highly unlikely there would be any  
12 consequences if they got -- by mistake got into my email  
13 account, even if I had something on there that would be even in  
14 the remotest interest to anyone else, so what? They don't know  
15 who I am, or anything about it, and there are minimization  
16 procedures. So it seems to me, you know, you're talking about  
17 very abstract -- very abstract harms.

18 MR. ZWILLINGER: I have -- I have two responses to  
19 that. One is I don't think the case law suggests that an  
20 intrusion into someone's privacy, an invasion of their  
21 communications, a ransacking of their private papers is  
22 harmless if the government makes no further use of it. I think  
23 the case law says the exact opposite. I think it says that  
24 there is privacy intrusion felt by individuals, harm to  
25 individuals when their privacy is intruded upon, even if the

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1 government makes no further use; but second, I think the  
2 government would concede --

3 JUSTICE WINTER: No, but a lot of those cases are  
4 going to be people, who are not targets of search warrants; for  
5 example, who are in an apartment, and their privacy was invaded  
6 when the people with the warrants came in, and they are there  
7 being physically intruded upon. The people you're talking  
8 about don't even know that -- that an email may have been read  
9 by somebody.

10 MR. ZWILLINGER: I think the juris prudence about  
11 surreptitious entry is even more exacting than the juris  
12 prudence with a knock and announce. That is when you want to  
13 tell somebody you're going to their house, the standards are  
14 lower than when you want to do it on a surreptitious basis,  
15 because we think the surreptitious intrusion into privacy is  
16 one of the --

17 JUSTICE WINTER: The standards may be lower. I don't  
18 want to prolong this, because you only have so much time, but  
19 I'm just having trouble seeing who exactly is being hurt here,  
20 other than -- than people, who understandably, perhaps, like to  
21 feel comfortable in knowing that -- that we have a rigid Fourth  
22 Amendment protection of individuals and don't want to even  
23 contemplate that people are having their privacy unknowingly  
24 intruded upon.

25 MR. ZWILLINGER: I guess one response on the

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1 theoretical harm and then another practical point. I would  
2 just point to Justice -- I would just point to the Berger case,  
3 because in Berger and Katz, you know, the Supreme Court said  
4 that these intrusions on wiretapping without any subsequent  
5 discussion of use, but wiretapping individuals' private  
6 communications is the greatest harm an individual can  
7 experience; and I understand your point that they don't know  
8 they're experiencing that harm, so it can't be that great, but  
9 the government building a database on millions of people in the  
10 United States, even if they don't know it, I would argue would  
11 be a grave harm. But specifically, I would say that the  
12 government is not -- my understanding is they're allowed to  
13 retain information.

14 JUSTICE WINTER: Now you're getting close to a real  
15 harm, the government building a database, including large  
16 numbers of individuals, who are mistakenly surveilled upon. I  
17 will ask the Solicitor General if that's happening.

18 MR. ZWILLINGER: And you can also ask him if isn't it  
19 true that they can --

20 JUSTICE WINTER: I may forget to ask him. I would  
21 like to hear his answer anyway.

22 MR. ZWILLINGER: The materials can be retained and  
23 used by the government under certain circumstances. I'm not as  
24 fully versed on those circumstances, other than if they show  
25 commission of a crime, even though you were not reasonably

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1 under surveillance to begin with, even if there was no  
2 suspicion that you were involved in a crime, if they see that  
3 you were involved in a crime they can make further use of that  
4 material. So, the other use would be that if they surveil lots  
5 of people and find evidence of crime, they now can use that  
6 information in all sorts of ways against that person when the  
7 Fourth Amendment would have required some particularized  
8 showing. At least it's my understanding.

9 JUSTICE SELYA: The problem that I'm having, Counsel,  
10 with your -- with your argument is that we start the premise  
11 that this statute does not require the -- the individualized  
12 warrant that is so characteristic of -- of our typical Fourth  
13 Amendment jurisprudence, all right. If -- without that  
14 individualized warrant requirement, we're always going to have  
15 some incidental over -- over -- overdisclosure. As long as  
16 that isn't intentional, as long as there are procedures in  
17 place for minimization and for how the government constructs  
18 the certification that's required by the statute, I'm  
19 struggling with the notion that -- that you're doing anything  
20 except trying to get us to incorporate the characteristics of a  
21 warrant requirement into a statute that doesn't require a  
22 warrant to begin with.

23 MR. ZWILLINGER: It's an excellent question, your  
24 Honor, and let me try to address it in a couple of ways.  
25 First, set aside for the moment the question of whether we're

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1 under some sort of exception to the warrant clause. I think  
2 there's reason to say we're not, but setting that aside,  
3 assuming we are. Fourth Amendment juris prudence suggests that  
4 in determining the reasonableness of a surveillance, you don't  
5 ignore the principles of the warrant clause. It's not putting  
6 a back door warrant requirement in to say if you're going to do  
7 warrantless surveillance, you still need to do it consistent  
8 with reasonableness. And this Court, in 2002, looked at the  
9 question of how you determine something is reasonable even  
10 under the circumstances where it believed the warrant clause  
11 did not apply. And it went and found three principles drawn  
12 from the Fourth Amendment that you look at, even if a technical  
13 warrant is not required, and the three principles were: The  
14 three Ps, prior judicial review, particularity, and a probable  
15 cause finding.

16 JUSTICE ARNOLD: Let me ask you about that, about your  
17 first P. What is the effect of the power of the FISA Court  
18 under the -- under FISA to approve the procedures that the  
19 government has proposed?

20 MR. ZWILLINGER: All those --

21 JUSTICE ARNOLD: Is there some kind of prior judicial  
22 activity that would satisfy that?

23 MR. ZWILLINGER: I don't believe it is, and here's  
24 why. Those procedures that they're to approve are to determine  
25 whether the person is located outside the United States, but

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1 that is not a proxy for whether they have Fourth Amendment  
2 protection. Being outside the United States does not waive  
3 your Fourth Amendment rights. When you travel for two weeks to  
4 Italy on vacation, you are as protected against our government  
5 under the Fourth Amendment as when you are here. So being  
6 overseas, which is the finding the Court reviews their  
7 procedures to determine if they're overseas, that's not a  
8 relevant prior judicial review, but Congress seemed to use that  
9 as a proxy either for that or as a proxy for the fact that  
10 because they're overseas, they're using an overseas facility to  
11 communicate, but in the case of directives served on Yahoo  
12 that's not the case. They're using a U.S. facility to  
13 communicate. So I don't think that prior judicial review is  
14 sufficient.

15 The second one is particularity, and going back to the  
16 point I made about where the court erred below. If this Court  
17 follows its own holding from 2002 that particularity is an  
18 important component of reasonableness even where a warrant is  
19 not technically required, there's no particularity finding  
20 being made here. The way a name gets on this list, the way we  
21 have [REDACTED] names under surveillance, there's no requirement  
22 that the government show linkage between these email accounts,  
23 these facilities, and an agent of a foreign power. There's  
24 certainly not one that they have to show to a court. If they  
25 have some redacted procedures that we haven't seen, we don't

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1 know what they are, but they say an analyst puts it on this  
2 list.

3 And the third P we talked about is probable cause.  
4 And if you look at the case law, FISA itself was challenged as  
5 being unconstitutional many times. I've reviewed at least ten  
6 decisions. We cited four or five in the brief. It starts with  
7 the United States versus Duggan in the Second Circuit, and  
8 Cavanaugh, and a whole series of cases that says FISA is  
9 constitutional. The reasons they say FISA is constitutional  
10 all go back to these three Ps. They go to the role of the FISA  
11 Court in approving a finding of probable cause that the U.S.  
12 person was an agent of a foreign power; or they go back to the  
13 FISA Court approving a particularity showing; and if you took  
14 away those things, the way the Protect America Act has taken  
15 them away, I don't think any of those decisions come out the  
16 say way, least of all the decision in In re: sealed case. The  
17 three Ps was the focus. Yes, the Court talks about  
18 minimization. Yes, the Court talked about duration, but it  
19 said it specifically that other courts have said that these  
20 have constitutional significance. The FISA Court here placed  
21 all of their eggs in the minimization and duration basket.

22 JUSTICE ARNOLD: What exactly was the scope of the  
23 FISA Court's approval of the government's procedures under the  
24 statute?

25 Okay. Do you know what I'm talking about?

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1 MR. ZWILLINGER: If you could.

2 JUSTICE ARNOLD: The statute requires the government  
3 to produce to the FISA Court procedures under which they are  
4 going to intercept these communications, and the FISA Court has  
5 a certain amount of time within which to approve those  
6 procedures.

7 MR. ZWILLINGER: Right.

8 JUSTICE ARNOLD: So what -- what was the effect of  
9 that?

10 MR. ZWILLINGER: The only procedures that the FISA  
11 Court would be approve would be the targeting procedures, how  
12 they determine that someone is out of the country, and the  
13 minimization procedures.

14 JUSTICE ARNOLD: And minimization?

15 MR. ZWILLINGER: And minimization. And we're not  
16 arguing about minimization. The FISA Court said they use the  
17 same minimizations they use under FISA orders. We're not  
18 arguing about that.

19 What we are saying is minimization and particularity  
20 go hand in hand. Minimization is what prevents after there has  
21 been an intrusion in privacy from that intrusion to continue to  
22 be magnified throughout the government.

23 Particularity prevents the innocent U.S. person  
24 sitting at home from having their account looked at, and  
25 there's no particularity here. There's just minimization.

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1               So --

2               JUSTICE ARNOLD: Sitting at home not abroad?

3               MR. ZWILLINGER: Sitting at home, if they have the  
4 email account wrong, that person will be --

5               JUSTICE ARNOLD: Well, leaving that to one side, well,  
6 that's -- I mean there are other concerns, are there not, as to  
7 with the Fourth Amendment rights to citizens abroad?

8               MR. ZWILLINGER: Well, the particularity concern, the  
9 one that's so much animating this discussion is that if there  
10 is not a required showing to the FISA Court that the account is  
11 being used by the agent of a foreign power then there's no  
12 check to make sure they're surveilling the right account.

13              JUSTICE ARNOLD: Well, what is there in the record  
14 that indicates that there's a large error rate?

15              MR. ZWILLINGER: Well, all we have, and again, this is  
16 an unusual case, so we have the tasking orders that we received  
17 after the FISA Court ruled.

18              JUSTICE ARNOLD: Those are not -- those are not in the  
19 record; is that right?

20              MR. ZWILLINGER: We had no -- they're not in the  
21 record, but I'm representing to you that we have [REDACTED] accounts  
22 that do not exist that are appeared on these tasking orders.

23              JUSTICE SELYA: Right. But there's no harm from those  
24 errors, if those accounts don't exist, they obviously can't be  
25 invaded?

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1           MR. ZWILLINGER: That's right, your Honor. I'm not  
2     arguing that those [REDACTED] caused harm. I'm saying those [REDACTED] are  
3     indicative -- they're indicative of a problem. The problem is  
4     that when the government has to go to the FISA Court and make a  
5     showing, they have to show that the account they want to  
6     surveil is likely to be used by an agent of a foreign power,  
7     and that's a check on them. That's a verification that they're  
8     surveilling the right account the same way in which normal  
9     criminal surveillance requires them to show to a court that the  
10    address is where a crime is likely to be committed so they know  
11    they're surveilling the right address. And what we're saying  
12    is the [REDACTED] is indicative of a problem. The [REDACTED] we're getting  
13    is indicative of a problem.

14           JUSTICE WINTER: Why is it so clear that having a  
15    requirement that the FISA Court review whatever it is the  
16    government people review, how do we know the FISA Court isn't  
17    going to make the same [REDACTED] mistakes. It may be the information  
18    that the government has that led them to target a particular  
19    account is -- is information that turns out to be wrong, maybe  
20    disinformation, it can be any number of things in this area.  
21    How do we know that -- why do you think the FISA Court is going  
22    to discover these errors?

23           MR. ZWILLINGER: Well, I think there's two responses.  
24    One is I do think the government is forced to make some sort of  
25    showing to a court before it initiates a surveillance that it

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1 will be a check on the process. It will be a diligent check on  
2 the process. I think the government is going to stand up here,  
3 the Solicitor Generals, and say we do that, we just do it  
4 ourselves. We don't show anybody else.

5 JUSTICE WINTER: Well, that was what I was going to  
6 ask you. Are you -- are you really saying that even if the  
7 statute said these procedures must be in place, the Attorney  
8 General must make the certification, the government must say it  
9 has complied to procedures, and there's a requirement then you  
10 must put what you have what the government had before the FISA  
11 Court, the procedures, the information for -- for the FISA  
12 Court to see do these things match? Are you saying it's still  
13 unconstitutional?

14 MR. ZWILLINGER: Well, one, I'd say that we have  
15 nothing. The statute doesn't provide any of these things. If  
16 you're asking me hypothetically what would the problem then I  
17 would say we get to the problem, the fundamental problem,  
18 that's about their Executive Order 12333.

19 JUSTICE WINTER: Well, I'll put it more bluntly, are  
20 you -- are you saying that someone should check on whether the  
21 government is telling the truth?

22 MR. ZWILLINGER: I'm saying someone should determine  
23 not that they're telling the truth, but that there has been  
24 some linkage between the U.S. communications facility account  
25 to be surveilled and the agent of the foreign power that's

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1       supposed to be that's the subject of the surveillance, yes.

2               JUSTICE WINTER: What in your view could the  
3       government do if Yahoo was in Bern, Switzerland?

4               MR. ZWILLINGER: I think that would change the  
5       importance of the particularity requirement. I think the U.S.  
6       users, who use Yahoo's facilities in Bern, Switzerland --

7               JUSTICE WINTER: Suppose we have exactly the same  
8       number of -- the same people were using Yahoo --

9               MR. ZWILLINGER: Right.

10              JUSTICE WINTER: -- just that it's in Bern. How does  
11       that change the situation?

12              MR. ZWILLINGER: I think you follow -- I think the  
13       court in Bin Laden has it right in that respect, that is, if  
14       the foreign communications -- if the surveillance is taking  
15       place overseas, and it's a foreign communication facility, then  
16       I think the government has more freedom with the foreign  
17       intelligence exception to the warrant requirement to surveil  
18       that, because I don't agree that they fall under the exception  
19       when they're surveilling here, and I think the particularity  
20       doesn't need to be shown as dramatically to a U.S. court,  
21       because the consequences don't fall on U.S. persons.

22              JUSTICE WINTER: But the only U.S. persons affected by  
23       my hypothetical different from what we have in this case are  
24       Yahoo employees.

25              MR. ZWILLINGER: Oh, I see what you're saying.

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1 JUSTICE WINTER: Yes.

2 MR. ZWILLINGER: If the same proportion of users --

3 JUSTICE WINTER: I'm saying, I mean -- I mean in the  
4 past we had the comfort of having technology and the targeted  
5 persons proximate to each other. Now, we -- we have a totally  
6 different technology. What difference does that make? What  
7 can the United States Government do -- in your view, what could  
8 it do if the -- if Yahoo's facilities were in Bern that it  
9 can't do now, because they're in Sunnyside?

10 MR. ZWILLINGER: Sunnyvale, California.

11 JUSTICE WINTER: Sunnyvale.

12 MR. ZWILLINGER: My answer is that we have always put  
13 more restrictions on the government operating on U.S. soil; and  
14 so, if the Yahoo system, if we're talking about a Yahoo system  
15 in -- operated by a Swiss entity, because I think the fact that  
16 Yahoo is a U.S. company matters to this. But if you're saying  
17 a Swiss entity is operating a communications facility that  
18 looks exactly like Yahoo in Switzerland does the government  
19 have to go to a U.S. FISA Court to show particularity, I would  
20 say the need for that would be less; that their surveillance of  
21 the Swiss facility would be more reasonable than it would be if  
22 they're operating on U.S. soil, because --

23 JUSTICE WINTER: I mean -- I mean, we used to live in  
24 circumstances where if people -- I had a civil case that  
25 involved long-distance phone calls in Japan from -- from Tokyo

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1 to -- to Kobe, or whatever, and it was cheaper for those calls  
2 to be routed through Chicago than just routed in Japan.

3 Now, what difference does it make since the persons  
4 being -- actually being surveilled are on foreign soil? What  
5 difference does it make that the transmission facilities  
6 are -- are here or in Brazil or wherever --

7 MR. ZWILLINGER: I think the key question --

8 JUSTICE WINTER: -- constitutionally?

9 MR. ZWILLINGER: Yeah, I think constitutionally the  
10 key question is how does the lack of particularity harm U.S.  
11 persons, and -- and in this example, and, you know, I can spend  
12 as much time as you want on it, but -- but when you have an  
13 example like we have where more of our users are from the  
14 United States, the lack of particularity and getting the wrong  
15 account harms U.S. persons, and the jurisdiction --

16 JUSTICE WINTER: I guess what I'm getting at is  
17 shouldn't the Fourth Amendment focus on the targets, not the  
18 transmitters?

19 MR. ZWILLINGER: I think it focuses on both, because  
20 let me try another -- if there was a -- a hotel in the United  
21 States, and two foreigners were meeting, and they've chosen the  
22 United States as their choice of forum, and they went into a  
23 hotel room, and it was a foreign communication to a foreign  
24 communication, do we say the government can operate with  
25 impunity, warrantless basis to put a bug in that room, or do we

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1 say that the fact that they're in a U.S. hotel matters that you  
2 can't surveil that room without process under U.S. law?

3 JUSTICE SELYA: No, we --

4 MR. ZWILLINGER: Jurisdiction matters.

5 JUSTICE SELYA: But we -- but we also say that if the  
6 government made a warrantless entry into that, into that room,  
7 that the hotel might not be able to challenge that, and it  
8 seems to me the transmission facility here is in a position of  
9 a hotel.

10 MR. ZWILLINGER: Except the transmission facility  
11 isn't passively -- if the government wants to barge into the  
12 hotel room and place a bug, that's different than the  
13 government coercing and under the power of the court compelling  
14 Yahoo to assist in what would be unconstitutional surveillance  
15 if a U.S. person were involved in that communication. If there  
16 were a U.S. person involved in that hotel room, the U.S. person  
17 using their facilities we would argue this is an  
18 unconstitutional interception, and we're asking -- and the  
19 government's asking us to participate in it. They're not  
20 picking the signals out of the air. They're saying Yahoo,  
21 under penalty of contempt, you must spend your time and energy  
22 intercepting [REDACTED] people [REDACTED] otherwise, we'll fine you.  
23 And I think that's different. We're coercing a U.S. company to  
24 comply with what we believe is an unlawful directive, and  
25 Congress told the Court to consider whether the directive is

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1 lawful or not. I understand your point would there be  
2 standing, but this is not -- Yahoo's not suing. We're not  
3 looking to recover any money. We're not looking to exclude any  
4 evidence. I'm sorry.

5 JUSTICE SELYA: Let me move backwards, because I want  
6 to be sure I understand something. You keep talking about  
7 the -- the [REDACTED] errors that you've discovered in what the  
8 government in the -- in the accomplice that the government's  
9 saying.

10 Do I correctly understand that those [REDACTED] accounts are  
11 all accounts that were closed by the time you received your  
12 request to surveil those accounts?

13 MR. ZWILLINGER: I don't know that, that they were  
14 closed. We know they don't exist.

15 JUSTICE SELYA: Or they don't exist?

16 MR. ZWILLINGER: I don't know whether they ever  
17 existed and were closed or were closed for dormancy or were  
18 closed for termination. I just know they don't exist.

19 JUSTICE SELYA: All right. But it makes a substantial  
20 difference, doesn't it, because -- because it seems to me if  
21 the accounts -- if the accounts are merely accounts that have  
22 been closed that that -- that reduces greatly the possibility  
23 that they were errors at all. The government's information may  
24 be entirely accurate as simply that the parties may be -- may  
25 be one step ahead of the government and may have closed the

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1 accounts. So it doesn't tell us very much.

2 MR. ZWILLINGER: Well, one --

3 JUSTICE SELYA: All we know is that the accounts no  
4 longer exist.

5 MR. ZWILLINGER: One step or seven, that is, at a  
6 certain point they get closed and get recycled and other people  
7 start using them. But, yes, I'm not here before you, and this  
8 wasn't the focus of the briefing to say [REDACTED] errors you must  
9 strike it down. I'm here to say look at the nature of the  
10 surveillance, look at the lack of particularity, look at how  
11 the names get on this list, that's important.

12 But the other thing that was responsive to a couple of  
13 your questions, and I don't want to let it go before my time is  
14 up, is the vesting of the entire discretion in the executive  
15 branch, because if this were two weeks ago, I would have stood  
16 before you, and I would have said, look at Keith, look at Katz,  
17 look at the warning about vesting the power in the branch  
18 that's interested in the outcome to make the important  
19 determinations, but this isn't two weeks ago. This is 2008,  
20 and the Supreme Court spoke last week in the Boumediene case;  
21 and the Boumediene case, while about habeas was really about  
22 reconciling privacy against security. And the question in  
23 Boumediene was is an executive branch only procedure of  
24 effective and reasonable substitute for the Constitutional  
25 guarantee of habeas; and the Court said it was not. And why

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1 was it not an effective substitute? Because you cannot trust  
2 constitutional rights of this magnitude to a closed and  
3 accusatorial process that is run and determined by the  
4 interested party, who has an interest in the outcome just like  
5 the DNI in this case has an interest in the outcome. Keith and  
6 Katz taught us that the Fourth Amendment does not contemplate  
7 *the Attorney General of the United States as a neutral and*  
8 *disinterested magistrate.*

9 JUSTICE ARNOLD: I think it was important in the  
10 habeas case, the nature of the procedures that were actually  
11 available and promised were -- was important to the outcome in  
12 the habeas case; isn't that right, because the full panoply of  
13 judicial procedures wasn't really offered.

14 MR. ZWILLINGER: And that is my argument here. That  
15 is my argument here, that the full panoply of Fourth Amendment  
16 protections that are supposed to imbue to the benefit of U.S.  
17 persons are not here. They're not being given.

18 JUSTICE ARNOLD: I mean within -- I mean within the  
19 procedure itself. Here, they might be -- the decision with  
20 respect to whether those procedures have, in fact, been carried  
21 out may be -- may be entrusted to the executive branch, but I  
22 think it was important to the outcome in the habeas case the  
23 procedures themselves to whomever they might have been  
24 entrusted, for insufficiency.

25 MR. ZWILLINGER: Well, I'm going to stay with you here

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1     though, because I do think the parallel remains. The  
2     procedures here, the PAA doesn't require the executive branch  
3     to do any of these things.

4             JUSTICE ARNOLD: No, but the record in this case  
5     indicates that the executive branch is doing quite a lot.

6             MR. ZWILLINGER: Well, I guess quite a lot depends on  
7     where you sit. They certainly are, according to the executive  
8     branch, are making a finding that the person -- the U.S.

9     person, who's involved --

10            JUSTICE ARNOLD: You have agents. You have the  
11     directives.

12            MR. ZWILLINGER: The directives are for here for us to  
13     see. I would argue the directives say very little. The  
14     directives say --

15            JUSTICE ARNOLD: Do you have the executive order? Do  
16     you have the DoD procedures? They're not nothing, right?

17            MR. ZWILLINGER: They're not nothing, but they all go  
18     to the same point that there's a probable cause finding by the  
19     executive branch, not a particularity finding by the executive  
20     branch.

21            If I could reserve the rest of my time for rebuttal.

22            JUSTICE SELYA: Yes.

23            JUSTICE WINTER: We'll hear from the government.

24            MR. GARRE: Thank you, Judge Selya. May it please the  
25     Court, my name is Gregory Garre. I'm appearing here today on

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1     behalf of the United States. As this Court recognized in the  
2     In re: Sealed case, the ability to reveal timely and accurate  
3     foreign intelligence information is vital to the nation's  
4     efforts to protect itself from foreign attack. The directives  
5     at issue in this case are an important crucial component of  
6     that ongoing effort.

7             If I could begin by addressing a number of the  
8     practical -- practical concerns that Mr. Zwillinger raised.  
9     First, with respect to the number of accounts covered by the  
10    tasking order. The vast majority of those accounts deal with  
11    non-U.S. persons outside the United States; and, therefore, no  
12    one, including Yahoo, as far as I understand from the briefs,  
13    is arguing that those accounts are subject to any Fourth  
14    Amendment consideration. There's only --

15            JUSTICE SELYA: What is the importance of that though,  
16    I mean, because the case is about the other accounts; isn't  
17    that right?

18            MR. GARRE: That's absolutely right.

19            JUSTICE SELYA: The FISA Courts -- the FISA Court, I  
20    think, referred two or three times to the fact that they assume  
21    that most of the vast majority of the people outside the United  
22    States are foreigners and not implicated, because the Fourth  
23    Amendment doesn't apply to them, but that's not really  
24    important to the case, is it?

25            MR. GARRE: Well, I think it puts the number that Mr.

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1 Zwillinger gave into perspective, the [REDACTED]

2 JUSTICE SELYA: Right. But we're talking about those  
3 people only. I mean, those are the people whose rights are at  
4 stake here.

5 MR. GARRE: That's absolutely right, your Honor, and  
6 our argument focuses on that.

7 JUSTICE SELYA: Okay.

8 MR. GARRE: I mean, just briefly on the [REDACTED] number, as  
9 you mentioned, Judge Selya, it's true that accounts are opened  
10 and closed. [REDACTED]

11 [REDACTED] So the fact that accounts have been closed is not  
12 significant, and that's particularly true given that the large  
13 number of email accounts here is reflected by the fact that  
14 Yahoo is in noncompliance for several months. So, if you go  
15 back several months, it's not surprising that several accounts  
16 have been closed.

17 With respect to the protections against U.S. persons,  
18 who are not the targets of searches, there are ample  
19 protections in place to ensure that their communications are  
20 not intercepted.

21 First, there are the minimization procedures that  
22 exist under FISA and that have been applied for decades. The  
23 risk of incidental --

24 JUSTICE SELYA: That's post acquisition, isn't it?

25 MR. GARRE: That's post accusation, but it's post

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1 acquisition in FISA, and it's important to understand the risk  
2 of incidental collection of U.S. communications from people,  
3 who are not targets of surveillance is the same in this case as  
4 it is in the typical FISA case; and so, we have a set of  
5 procedures that have been developed and applied and approved by  
6 the FISA Court for decades. And, your Honors, if you're  
7 interested in looking at those, I would point you to page 534  
8 and 536, where they deal with the question of what happens when  
9 communications from U.S. persons, who are not the subject of  
10 targets are acquired. Those communications are disregarded  
11 under the procedures set forth at 534 to 536.

12 Second, if there is --

13 JUSTICE WINTER: Here, he suggested -- he stated that  
14 if those [REDACTED] numbers have been submitted to the FISA Court, if  
15 there was a provision for review by the FISA Court, those [REDACTED]  
16 would not have -- they would have been stricken from -- from  
17 the list.

18 MR. GARRE: Well, let me answer that question this  
19 way. The -- errors happen not infrequently under the FISA  
20 process as well where you get information that there is an  
21 account. It's presented to the FISA Court with similar  
22 information that the government looks at in determining whether  
23 to go up and account under the Protect America Act, and then it  
24 turns out that it's not the right account. So, the possible  
25 existence of error exists under FISA as it does here. You look

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1 at the procedures in place to ensure that there is not an  
2 error, and I'm happy to address those.

3 First, let me just go back to the checks.

4 JUSTICE WINTER: Well, he did ask us to ask you how  
5 did these numbers get on this list. Maybe that's the point.

6 MR. GARRE: And the checks that are in place are  
7 these. And here I'm talking about any U.S. person, who is  
8 subject to surveillance outside the United States.

9 First, the Attorney General of the United States has  
10 to make a probable cause determination under Section 2.5 that  
11 the subject of surveillance is reasonably believed to be a  
12 foreign power or agent of foreign power. And the way that the  
13 Attorney General does that is first he gets a two -- a two- to  
14 three-page or lengthier letter from the director of the  
15 National Security Agency setting forth the facts and bases on  
16 which the government has to believe that this is a person, who  
17 is an agent foreign -- agent of a foreign power, for example,

18

19 Next, the Department of Justice and National Security  
20 Division looks at that and through a careful back and forth  
21 process with the National Security Agency develops its own  
22 memorandum to the Attorney General, oftentimes a very lengthy  
23 memorandum, explaining the facts and circumstances that lead  
24 the government to conclude that this person is an agent of a  
25 foreign power. Then that information is submitted in an oral

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1 briefing with high-level officials to the Attorney General, and  
2 there may be additional back and forth on the question of  
3 whether this person is a foreign agent. At that point, the  
4 Attorney General, as he did with respect to the U.S. persons in  
5 this case, would make a probable cause determination under  
6 Section 2.5 that the target is reasonably believed to be an  
7 agent of a foreign power. That's only the first part of the  
8 procedures in place. After that, you've got additional checks  
9 in place. You've got the targeting procedures that by statute  
10 were required to be approved by the FISA Court and that were  
11 approved by the FISA Court. I would direct your Honors'  
12 attention --

13 JUSTICE SELYA: Do any of those procedures go to Mr.  
14 Zwillinger called linkage?

15 MR. GARRE: Yes.

16 JUSTICE SELYA: [REDACTED] links up with  
17 that?

18 MR. GARRE: The targeting procedures require the  
19 government to ensure that the [REDACTED]  
20 [REDACTED] an individual, whose outside the United  
21 States, and that is a particular linkage and a point your Honor  
22 is to, I believe, it's EA -- well, actually, the FISC Court  
23 discussed that at page 93 of its decision.

24 JUSTICE SELYA: But what linkage -- but even assuming  
25 that is used by the person outside the United States, who could

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1 presumably could be a United States citizen, what then links  
2 that [REDACTED] with the -- the agent of a foreign power?

3 MR. GARRE: Well, I think -- oftentimes, this is sort  
4 of an academic question in the sense that oftentimes, and this  
5 is true under the FISA process, the government knows an  
6 individual by the [REDACTED]

7 [REDACTED]  
8 [REDACTED]  
9 [REDACTED]  
10 [REDACTED]  
11 [REDACTED]  
12 [REDACTED]  
13 [REDACTED]  
14 [REDACTED]  
15 [REDACTED]  
16 [REDACTED]  
17 [REDACTED] There is additional  
18 particularity findings that are made as part of the  
19 determination to [REDACTED] The  
20 government applies foreign intelligence factors, and those  
21 factors are discussed at page -- I believe EA 12 of the -- the  
22 ex parte joint appendix. Where there are particular factors  
23 that are approved at the time that a certification is approved  
24 by the Attorney General that limits the government's discretion  
25 in determining whether [REDACTED] will have foreign

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1 intelligence information that is appropriately surveilled in  
2 the procedures that have been in place. So, in those two  
3 respects there are particularity findings with respect to each  
4 [REDACTED] that is subject to the balance.

5 I've talked about the 2.5 finding and the targeting  
6 procedures, which were approved by the FISC, and that part of  
7 the Court's decision is contained at EA 557. There are also  
8 the minimization procedures that were --

9 JUSTICE SELYA: Before you get to minimization,  
10 there's a suggestion in the petitioner's brief -- more than a  
11 suggestion -- that the fact that the procedures you've just  
12 described are aimed at the agent of a foreign power is itself  
13 unduly expansive, because that doesn't necessarily limit it.  
14 It's not necessarily self-limiting to someone whose interest  
15 are inimical to the United States, but could encompass, for  
16 example, [REDACTED]

17 [REDACTED] That phrase is simply too broad.

18 MR. GARRE: And I think as that -- that term is  
19 applied by -- by decades of practice, it rules out that  
20 hypothetical possibility.

21 JUSTICE SELYA: All right. So, in other words, the  
22 government views the agent of a foreign power used in this  
23 context as a term of art that has got a particular meaning in  
24 the foreign intelligence community?

25 MR. GARRE: Absolutely. And in particular, if the

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1 Court has any doubts about this, we'd urge you to read the  
2 classified materials, including the director of national  
3 intelligence affidavit in support of our opposition to the stay  
4 motion, which -- which discussed the particular targets of the  
5 surveillance at issue in these case; and among those, including  
6 in particular, agents of international tourist -- terrorists  
7 organizations, which is a part of the definition of foreign  
8 agent, which is set forth in the FISA statute.

9 JUSTICE ARNOLD: What part of the legal apparatus that  
10 is relevant to this case uses the word "employee of foreign  
11 government" is that not -- is that in the Act?

12 MR. GARRE: I believe that's in the FISA Act in the  
13 definition of -- of foreign power, foreign agent. But this  
14 case is really an as applied constitutional challenge to the  
15 particular directives in here, but they haven't raised the  
16 facial constitutional challenge. The Court would determine the  
17 Constitutionality of the directives at issue in light of all of  
18 the procedures that had been applied and that are supported in  
19 the record and in light of the particular national security  
20 issue.

21 JUSTICE ARNOLD: I saw it. I think that's right, but  
22 I didn't notice that they called our attention to that portion  
23 of the statute.

24 MR. GARRE: I think that hypothetical possibility  
25 wouldn't render the statute facially unconstitutional, and it's

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1 not before Your Honors.

2 With respect to the targeting procedures, too, I did  
3 want to make clear that if an error is detected, the procedures  
4 provide that the information acquired should be destroyed.  
5 There is no database that is acquired with information that is  
6 incidentally collected; and under the targeting procedures,  
7 there is a provision for destroying evidence, and that's at  
8 EA 19 and 53.

9 JUSTICE SELYA: Now, your brother counsel suggests  
10 that isn't true, for example, mistakenly collected information  
11 reveals evidence of a crime or other exceptions.

12 Are there exceptions?

13 MR. GARRE: All right. Your Honor,  
14 those -- those -- the answer to those questions appears at  
15 pages 534 to 536 of the classified appendix, but -- but to  
16 answer it more generally in this forum, incidental collections  
17 from U.S. persons is either destroyed -- there are procedures  
18 in place to make sure that it is destroyed and not used or  
19 disseminated. In -- in -- and that is -- that is the baseline  
20 procedures. The discussion of those procedures, as they play  
21 out in particular situations, I think, is illuminated at page  
22 534 and 536. There is no database that is taken from  
23 incidental collections, and any -- the risk of incidental  
24 collections is the same here as it is under FISA.

25 There's another check on the errors, and I think that

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1 this is important. There is a congressional reporting  
2 requirement where the executive has to report to the Congress  
3 by statute, semiannually, I believe, and this is in the Protect  
4 America Act, but the executive has undertaken by itself to  
5 provide reports to Congress every 30 days of any errors that  
6 have been detected in the regular analytical and technical  
7 checks of the surveillance that is being conducted. And that  
8 is an additional check. Of course, if -- if Congress is  
9 concerned that the program is not working, and not only can  
10 amend the statute, but to bring executive officials to it to  
11 explain what is going on, conduct hearings, and whatnot.

12 JUSTICE ARNOLD: I'm sorry to return to this point,  
13 but I just got on this court two to three days ago, so I'm  
14 trying to get up to speed here.

15 What exactly is the scope of the approval of the FISA  
16 Court to the government's procedures? What is the -- what is  
17 the -- what is the nature of the scope of FISA --

18 MR. GARRE: The FISA court, and this is in -- it's  
19 required in the Protect America Act. I believe it's  
20 Section 105(c)c, little C, the required -- the FISA Court was  
21 required to review the government's targeting procedures, and  
22 it was under a clearly erroneous monitor review.

23 JUSTICE ARNOLD: Target the procedures.

24 MR. GARRE: And the FISA Court's decision is produced  
25 in the materials that the Court has before it in the

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1      classified --

2                JUSTICE ARNOLD: I've read it. I'm just -- I'm having  
3      difficulty -- okay. That's in the EA?

4                MR. GARRE: That's in the EA, that's right, your  
5      Honor.

6                JUSTICE ARNOLD: All right. Thank you.

7                MR. GARRE: So, you've got the probable cause finding,  
8      the targeting procedures, the minimization procedures. On top  
9      of that, you also have the requirement, the statutory  
10     requirement, that the Attorney General and the director of  
11     national intelligence find that significant purpose of the  
12     acquisition is to obtain foreign intelligence information. And  
13     here again, the executive has gone further, because they not  
14     only have made that finding at the certification stage, but  
15     they've qualified it in an important respect by establishing  
16     foreign intelligence factors that channel the discretion of the  
17     analysts, [REDACTED]

18     [REDACTED] and again those procedures are  
19     discussed at EA 12.

20                Let me talk a little bit about the location of the  
21     surveillance, because this was another emphasis of Mr.  
22     Zwillinger.

23                We think that the pertinent constitutional point is  
24     the only surveillance at issue in this case is surveillance by  
25     U.S. persons, who are outside the United States. That

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1 surveillance is with respect to communications that are taking  
2 place that are initiated outside the United States; and in that  
3 respect, although it's true that e-mail is collected by Yahoo  
4 at the Sunnyvale, California office, that is no different than  
5 surveillance that has been conducted for decades outside of  
6 FISA with respect to satellite communications.

7 When FISA was enacted in 1978, the definition of  
8 electronic surveillance carved out radio communications, i.e.,  
9 satellite communications, where one user is outside of the  
10 country; and so under FISA you've had for decades, and this is  
11 what the FISA Court said about this, on page 83 of its  
12 decision: "Without question Congress is -- Congress is aware  
13 and has been for quite some time that the intelligence  
14 community conducts electronic surveillance of U.S. persons  
15 abroad without seeking prior judicial authority." And one  
16 aspect of that is the satellite communications, where you have  
17 U.S. persons outside the United States communicating by  
18 satellite, and those messages are picked up at a satellite dish  
19 inside the United States. And for decades those communications  
20 have been outside the FISA process, and no one has argued that  
21 the warrant requirement applies to those communications. And  
22 that makes sense when you think about it, and I think it was  
23 Judge Whener, I think, who made this point that the focus ought  
24 to be on the targets themselves where the communications are  
25 taking place. If you had foreign to foreign email

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1 communication, and most of the email communications --

2 JUSTICE WINTER: Not where the communications are  
3 taking place, whether people are communicating by --

4 MR. GARRE: Well, that's right. That's right, [REDACTED]

5 [REDACTED]  
6 [REDACTED]  
7 [REDACTED]  
8 [REDACTED]  
9 [REDACTED] I don't think anybody

10 would argue that the Fourth Amendment would apply to that  
11 communication, even though the email communications go to  
12 account in Sunnyvale, California. I haven't understood Yahoo  
13 to argue that the Fourth Amendment would be implicated by that.

14 And, similarly, the Fourth Amendment isn't --

15 JUSTICE SELYA: You mean the interception there by you  
16 and Yahoo would not implicate the Fourth Amendment?

17 MR. GARRE: That certainly would be the government's  
18 view.

19 JUSTICE SELYA: I'm just making sure I'm getting your  
20 point.

21 MR. GARRE: Right. And similarly, I think that --

22 JUSTICE WINTER: It's not clear they're saying -- even  
23 if they're saying the Fourth Amendment wouldn't apply to that,  
24 it's not clear they're saying there should not be some judicial  
25 review of whether the underlying facts leading to the exemption

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1       should not be -- shouldn't exist.

2               MR. GARRE: Well, as I understand their argument,  
3       they're not contesting that the vast majority of communications  
4       of non-U.S. persons outside the U.S. are not subject to the  
5       Fourth Amendment, so there is no prior judicial approval. With  
6       respect to the U.S. persons outside the United States, it's  
7       true, they're arguing that there should be prior judicial  
8       approval, and that argument is an argument that the warrant  
9       requirement applies to foreign intelligence surveillance.

10              JUSTICE WINTER: Well, not necessarily. You can cut  
11       the salami a little closer, because you can say that there has  
12       to be judicial review showing that they fall within -- that the  
13       U.S. persons are outside the United States and are foreign  
14       power agents with foreign power.

15              MR. GARRE: Well, I think, with respect, your Honor, I  
16       think we view the prior judicial approval requirement as  
17       tantamount to a warrant requirement. I think once you get  
18       outside the warrant requirement, and we think that this Court  
19       in the In re: sealed case recognize that there is a warrant  
20       exception to the foreign surveillance gathering, because this  
21       Court concluded that the search --

22              JUSTICE WINTER: Well, it wouldn't be a warrant in the  
23       traditional sense, because it would stop that location and  
24       relationship to a foreign power. That would be checked. The  
25       purpose of the surveillance, the nature of the surveillance

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1 wouldn't be checked; and normally with a warrant, those would  
2 be checked.

3 MR. GARRE: And I think -- I mean, first of all, the  
4 executive and Congress, and this goes to the point that Mr.  
5 Zwillinger addressed. This isn't a case about the executives'  
6 conduct. This is a case about the executives' determination  
7 and Congress's determination. So this case fits within the  
8 category of the Youngstown analysis where the petitioner bears  
9 the heaviest burden to show that the executives, that the  
10 actions, the directives at issue are unconstitutional, because  
11 the executive is operating under a framework established by  
12 Congress and under a framework where the executive is reporting  
13 to Congress every 30 days on what it's doing.

14 Secondly, again, there have been for decades foreign  
15 surveillance intelligence gathering that takes place outside of  
16 any judicial approval of -- the FISA Court recognized that at  
17 page 83 of its decision. And the question is once you get  
18 outside of the warrant exception, which we think this Court  
19 recognized foreign surveillance intelligence is outside of in  
20 the In re: sealed case, then the question is reasonableness.  
21 Has the government reasonably balanced its interest and the  
22 information, and here all agree that the government has the  
23 highest order of interest in obtaining foreign intelligence  
24 information about the activities of our enemies.

25 JUSTICE ARNOLD: Of course, if you did have

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1 independent review by the judicial branch that would contribute  
2 to a conclusion that what was going on was reasonable, would it  
3 not?

4 MR. GARRE: Sure.

5 JUSTICE ARNOLD: Outside of the warrant requirement?

6 MR. GARRE: That's true, it would be an additional  
7 factor. I've listed the -- we think very fulsome steps that  
8 the executive undertakes itself, you could -- certainly, you  
9 could add others, but it would come at a cost. It would come  
10 at a cost that Congress recognized and the executive recognized  
11 that the need for speed, secrecy, and flexible in obtaining  
12 foreign intelligence information is -- is great, is vital. I  
13 think the director of national intelligence has explained that  
14 in his classified declaration to this Court.

15 JUSTICE ARNOLD: The whole thrust of the development  
16 of Fourth Amendment law has sort of emphasized the watchdog  
17 function of the judiciary. If you just look at the Fourth  
18 Amendment, there's nothing in there that really says that a  
19 warrant is usually required. It doesn't say that at all, and  
20 the warrant clause is at the bottom end of the Fourth  
21 Amendment, and -- but that's the way -- that's the way it has  
22 been interpreted.

23 MR. GARRE: You're right, your Honor, but I mean I  
24 think it's important to recognize you do have judicial  
25 involvement insofar as you have the procedures being reviewed

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1 by and approved by the FISA Court. You do have the involvement  
2 of other branches in that you have the legislative branch is  
3 required to receive reports. And then you have the executive  
4 branch undertaking this extensive process on its own. And we  
5 think, again, the factors, the probable cause determination,  
6 that this person is an agent of a foreign power, the targeting  
7 procedures that ensure that this person is outside, reasonably  
8 believed to be outside the United States when the intelligence  
9 surveillance goes up and remains outside the United States  
10 during the course of our surveillance.

11 JUSTICE ARNOLD: To put it bluntly, how does anybody  
12 know that it's going to happen?

13 MR. GARRE: Well, Congress knows, because the  
14 executive is reporting to Congress. The presumption is, and  
15 this presumption would apply in the Fourth Amendment context as  
16 well as any other constitutional conduct -- context, that the  
17 government, the executive acts constitutionally. There is a  
18 presumption of regularity. There's no reason certainly in the  
19 record of this Court to -- for this Court to believe that that  
20 presumption would not be appropriate here, and there are checks  
21 in place to ensure that the executive is acting appropriately  
22 under the statute, and in particular, the congressional  
23 reporting requirement.

24 JUSTICE ARNOLD: I don't mean to suggest that there's  
25 a presumption otherwise, but there is this development. There

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1 is this long history and development of the Fourth Amendment,  
2 which essentially regards certain governmental action as  
3 deserving of scrutiny.

4 MR. GARRE: And we certainly appreciate that, your  
5 Honor, but I think to be -- to be frank, I think the  
6 extraordinary conclusion -- it would be an extraordinary  
7 conclusion for this Court to conclude that this foreign  
8 intelligence surveillance is subject to prior judicial approval  
9 when for decades it has been the case throughout our history  
10 that foreign intelligence surveillance with respect to U.S.  
11 persons outside the United States has been outside  
12 the -- conducted outside the requirement of any prior judicial  
13 approval.

14 JUSTICE ARNOLD: There's no Supreme Court case to that  
15 effect, is there?

16 MR. GARRE: I'm talking about the historical practice.  
17 You're right, there's been no Supreme Court case specifically  
18 addressed to this question. The Keith case reserved it.

19 JUSTICE ARNOLD: Reserved it expressly and rather  
20 presciently, I would think.

21 MR. GARRE: It did, your Honor, but again the Supreme  
22 Court said in the Dames & Moore case that historical practice  
23 is very important in interpreting the scope of constitutional  
24 provisions.

25 JUSTICE ARNOLD: There was a suggestion in the Bin

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1     Laden case that surveillance of this kind is obviously not  
2     satellite, so something like that has been going on since the  
3     Civil War. There was a citation to a law review article to  
4     that effect. I don't know whether we can take judicial notice  
5     of that or not.

6             MR. GARRE: I think that's correct, your Honor. I  
7     mean I think certainly since the 1940s, electronic surveillance  
8     with respect to individuals outside the United States has taken  
9     place outside of the warrant requirement, and again the FISC  
10    Court found that.

11            JUSTICE WINTER: Couldn't much the same be said the  
12    day before Keith came down about the kinds of surveillance that  
13    was -- that went on there?

14            MR. GARRE: I'm not sure. I mean I don't think to the  
15    same breadth, your Honor. I don't think the same could be  
16    said, and I think -- I mean everyone acknowledges, and  
17    certainly --

18            JUSTICE WINTER: Certainly, every president, like  
19    election is, every president, who was called upon to address  
20    the situation asserted their right to conduct that, so which  
21    generally means it's being conducted.

22            MR. GARRE: That's true. I think everyone recognizes  
23    that where you're dealing with surveillance inside the United  
24    States, you are within the -- the, you know, heartland of  
25    Fourth Amendment protections; but at the same time, there is

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1 long-standing precedent recognizing that when you're talking  
2 about communications outside the United States, even with  
3 respect to the U.S. individuals, you're getting far to the edge  
4 of that.

5 JUSTICE SELYA: Let me -- let me be clear in my own  
6 mind as to ask just what the government believes the issue is  
7 that is presented here, because I -- as I understand it, and  
8 let's for the time being set aside the -- the potential  
9 jurisdiction of standing issues. The government's principal  
10 case before us is that there is a national security exception  
11 that eliminates the necessity in this type of situation for a  
12 warrant requirement, and that the statute and the government's  
13 procedures under the statute, as exemplified in this case,  
14 comport with the other aspects of the Fourth Amendment that  
15 would be -- that would or might be adequate.

16 MR. GARRE: That's correct, your Honor. We haven't  
17 argued that we're exempt from the Fourth Amendment.

18 JUSTICE SELYA: That's exactly what I was getting at.  
19 That broad issue isn't presented in this case.

20 MR. GARRE: That's right, your Honor. And we've  
21 argued, and we've applied the standard to this Court framed in  
22 In re: sealed case to look to whether or not the FISA, as  
23 amended, is a reasonable response based on a balance of the  
24 legitimate need of the government for foreign intelligence  
25 information to protect against national security threats with

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1 the protected rights of citizens; and through the multiple  
2 procedures that I've mentioned, which include the executive's  
3 own procedures and checks, as well as the congressional check  
4 of oversight, we believe that this is a reasonable response;  
5 and that this Court in the in re: sealed case viewed the  
6 government interest here as -- as on the highest order of  
7 magnitude; and obviously, in the wake of events of seven years  
8 ago, nobody including Yahoo disputes that. When you -- and  
9 this is a balancing. You have to look at the highest order of  
10 the government's interests. That is not determinative, but  
11 that's an important part of the balance. When you balance that  
12 against the procedures that are in place, procedures that are  
13 required to be approved by a FISA Court, specifically the  
14 targeting procedures, procedures that the executive has  
15 adopted, the 2.5 probable cause determination is not something  
16 that the executive created for purposes of trying to comply  
17 with the Protect America Act. This is a -- this is a  
18 determination that has been in place for decades and has been  
19 made by the Executive. It's a familiar determination made by  
20 the Attorney General based on facts, specific facts and  
21 circumstances gathered by the nation's top -- gathered by and  
22 passed by --

23 JUSTICE ARNOLD: Is there anything in the record about  
24 the history of the application of these procedures and the  
25 extent? Have they actually been used in the

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1 circumstances -- in this circumstance?

2 MR. GARRE: The -- the executive order itself that  
3 establishes Section 2.5, and this is an order of the President.  
4 It was issued in 1981, and that is an order that has been  
5 followed. I don't think anyone disputes it's been followed, as  
6 to whether or not there's historical examples in the record. I  
7 don't know. I can tell you that it has been followed with  
8 respect to any surveillance of U.S. persons overseas for  
9 decades. It's an established --

10 JUSTICE ARNOLD: I think the track record would be an  
11 important aspect -- would be important in allowing us or anyone  
12 to decide the question of the likelihood of the application and  
13 conscientious application of the procedures, but apparently  
14 there's nothing in the record about that.

15 MR. GARRE: And maybe -- I may stand corrected on that  
16 by my colleagues; and if I do, I will let you know.

17 JUSTICE ARNOLD: Well, I think I haven't seen it.

18 MR. GARRE: Certainly, if the Court would appreciate  
19 a -- a discussion or explanation of the manner in which  
20 Section 2.5 has been carried out over the past few decades, as  
21 well as an example of the type of application that is made  
22 under 2.5, which is a very serious, very fulsome application,  
23 which specifically directed to the fact and circumstance that  
24 lead the government officials and ultimately the Attorney  
25 General to conclude that there is probable cause to believe

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1     that this person is an agent of a foreign power, we would be  
2     happy to provide that to the Court.

3             JUSTICE ARNOLD: But your main point is that this  
4     wasn't just something hoped up for present purposes; it's been  
5     in effect for quite some time?

6             MR. GARRE: That's exactly right. That's exactly  
7     right. You have that process in place for decades, and you  
8     have these -- the minimization procedures in place which have  
9     been approved and used by the FISA Court in essentially the  
10    same form for decades. You have targeting procedures, which  
11    have been reviewed and approved by the FISA court, which are  
12    not only designed to ensure that the particular facility being  
13    used is reasonably believed to be outside the United States at  
14    all points in time during the surveillance at issue. But also  
15    provide that if a determination is made that that is no longer  
16    a case, the surveillance should cease, and that information  
17    improperly obtained should be destroyed.

18            In addition to that, you've got the significant  
19    purpose determination, which by statute the director of the  
20    national intelligence and the Attorney General must make to  
21    ensure that the significant purpose of the collection at issue  
22    is foreign intelligence information, and that is a key finding  
23    for purposes of taking this case outside of the warrant  
24    requirement that would apply to the typical Fourth Amendment  
25    case. And on top of that, you have the congressional oversight

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1 responsibility by the statutes.

2 We would -- we think that this, this provision, these  
3 directives are in accordance with the -- of an act of Congress.  
4 They are in accordance with the best judgment of the  
5 government's top intelligence officials. They're in accordance  
6 with historical practice conducted in this nation with respect  
7 to foreign intelligence surveillance, and we would urge this  
8 Court to affirm the decision of the FISA Court.

9 Thank you very much.

10 JUSTICE ARNOLD: The petitioner has reserved rebuttal  
11 time.

12 MR. ZWILLINGER: Your Honors, there's a glaring hole  
13 in the Solicitor General's argument, and that relates to the  
14 [REDACTED] component here. The Solicitor General told you  
15 that when the person goes outside the United States that you  
16 can do surveillance on those communications that are sent from  
17 outside the United States, [REDACTED]


18 [REDACTED]  
19 [REDACTED]  
20 [REDACTED]  
21 Let me go over that again. When the government asks  
22 us to turn over the information [REDACTED]

23 [REDACTED] let's take, for example, an employee of  
24 this -- someone here is being accused of participating in  
25 giving some information to a foreign power. When they're in

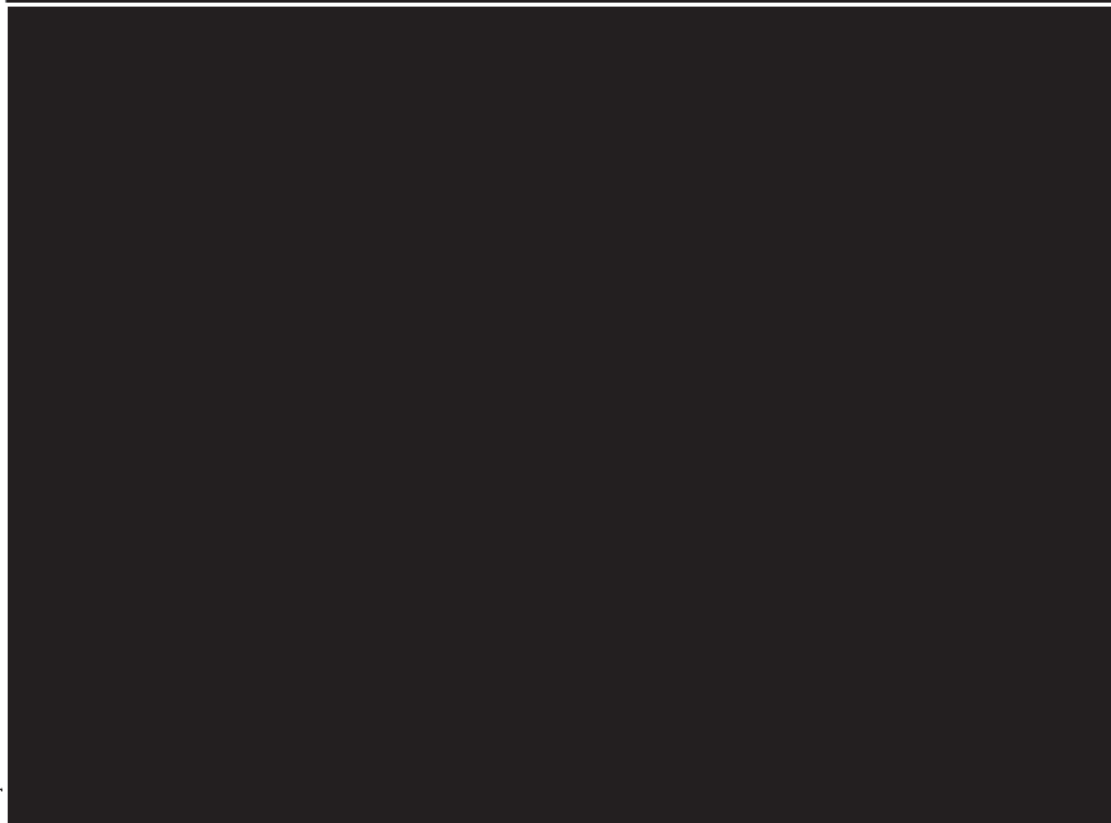
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1 the U.S. and sending communications, FISA applies. As soon as  
2 they go outside the United States, the government 

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1 [REDACTED]  
2 [REDACTED]  
3 [REDACTED]  
4       You know, the Solicitor General talks about Congress  
5 spoke here, but to the extent Congress has spoken, then they  
6 turn around and admit they misspoke. And now they have a  
7 Senate report that says we failed to provide adequate  
8 protections for U.S. persons, and we are going to pass new  
9 legislation. They intentionally let the Protect America Act  
10 lapse. So to the extent congressional oversight even exists  
11 after February 16, 2008, which I'm not sure it does, it  
12 provides no check. Congress can't do anything differently.  
13 The statute has passed. The directives continue all the way  
14 until the expiration date, but the statute doesn't exist any  
15 more. It's not Congress's current view of how surveillance  
16 should be conducted.

17       I think that's an important point. Another important  
18 point though is the government relies on the long history of  
19 surveillance; and on that point, I recommend and commend the  
20 Court read the D.C. Circuit decision Zweibon, because in that  
21 decision, the Court says the history of warrantless  
22 surveillance before Katz is irrelevant. Until Katz and Berger  
23 came down, there was no holding from the Supreme Court that the  
24 Fourth Amendment applied to communications in surveillance in a  
25 wiretapping communication. So how can, under a different legal

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1 regime, a long history of surveillance matter -- and Judge  
2 Winter, your point was exactly right in the Keith case, and  
3 this is especially discussed in the District Court opinion.  
4 The government made the same argument with regard to the long  
5 history of surveillance for domestic security. There is no  
6 separate traits or separate track. The executive claimed the  
7 authority to do a warrantless surveillance for both domestic  
8 security and foreign intelligence information, and the Keith  
9 Court rejected that long history.

10 I don't think I'm going to convince you now in the few  
11 minutes I have left that there shouldn't be a foreign  
12 intelligence exception to the warrant clause, but I would say  
13 Bin Laden took a close look at that and said that used to make  
14 sense. That used to make sense before Keith, and it used to  
15 make sense before FISA, and now it only really makes sense when  
16 the collection is overseas. So, going back to my example where

17 [REDACTED]  
18 [REDACTED] why is  
19 there a foreign intelligence information exception to the  
20 warrant clause [REDACTED]

21 [REDACTED]  
22 What are the circumstances that justify that? It's got to be  
23 different.


24 JUSTICE WINTER: Don't we have to know more about the  
25 number of U.S. persons in their circumstances that are, in


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1 fact, the subject of these directives? 

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9 MR. ZWILLINGER: It's a very good question, and the  
10 answer is I think the framework of the statute prevents anyone  
11 from ever knowing about that. In the sense that what the  
12 government said was very important. We know people by their  
13 email address. That's what he said. We know people by their  
14 email address. So, if an email address goes out to 40 people  
15 and says, while you're in Baghdad, here's some important  
16 information for you. All they know is the email address. So  
17 how could they apply any of their executive order  
18 certifications to determine that that person is a U.S. person,  
19 if all they know is their email address, and that's all they  
20 have to know, because the email itself says, I have reason to  
21 believe this person is out of the country. It says while you  
22 were in Baghdad, please do the following. Forty people are  
23 copied on that. When you asked the Solicitor General the  
24 question how people got on the list, he answered a different  
25 question, with all due respect to him. He answered the

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1 question what do you do to protect U.S. persons you know are  
2 U.S. persons. He didn't answer the question what do you do  
3 when an email gets sent out to 40 email addresses that says  
4 while you are in Baghdad, do this. What do you do before you  
5 put them on the list. If all they know is it's an email  
6 address, I don't think we'll ever know how many U.S. persons  
7 are subject to surveillance, and that's one of the flaws.

8 The Solicitor General says we didn't make a facial  
9 challenge. All I can say to that is we said the directives  
10 were unlawful. The directives are issued under the Protect  
11 America Act. It's precisely because of the lack of  
12 particularity, the lack of prior review, the lack of  
13 information that none of these safeguards come into form. So,  
14 yes, we're saying the directives served on us are unlawful, but  
15 it does -- they're unlawful, because the Protect America Act  
16 that allows them violates the Fourth Amendment.

17 JUSTICE ARNOLD: The flaw, if any, would be in the  
18 directive, so...

19 MR. ZWILLINGER: The directives in the record say very  
20 little other than you will do what we say.

21 JUSTICE ARNOLD: And the sort of evident procedure.

22 MR. ZWILLINGER: Let me pose just one final  
23 observation. The Solicitor General made an important point.  
24 He said there is a presumption of regularity that attaches to  
25 executive branch action. My understanding of the law is the

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1 law is, you know, a battle between competing presumptions, and  
2 the presumption of the Fourth Amendment is that the reason to  
3 reach over the Fourth Amendment is there isn't a presumption  
4 that the executive will always act in a constitutional matter,  
5 not when they're invading U.S. persons' right to be secure in  
6 their homes or their places or their papers, and the  
7 presumption that should apply here is that we cannot vest that  
8 discretion in the executive branch.

9 Thank you.

10 JUSTICE SELYA: Thank you, Counsel.

11 Thank you, all. We appreciate the arguments. We'll  
12 take the matter under advisement, including the motion to stay,  
13 which we have not ruled definitively. I also want to thank  
14 both counsel for the advocate and counsel for the government  
15 for driving us and coming to Providence for purposes of this  
16 hearing. At least we provided you with nice New England  
17 weather; and if you don't like it, stay for awhile.

18 We'll stand in recess.

19 THE CLERK: All rise.

20 The session of the Honorable United States Foreign  
21 Intelligence Surveillance Court of Review is now recessed. God  
22 save the United States of America and this Honorable Court.

23

24 (At 11:50 a.m., Court was adjourned.)

25

**SECRET**

**SECRET**C E R T I F I C A T E

I, [REDACTED] RDR, CRR, do hereby  
certify that the foregoing transcript, consisting of 62 pages  
inclusive, is a true and accurate transcription of my  
stenographic notes taken on June 19, 2008, to the best of my  
skill, knowledge, and ability.

/s/ [REDACTED]

[REDACTED] RDR, CRR

Official Court Reporter

**SECRET**