Intelligence Community Continuity Program


B. PURPOSE

1. The President, in NSPD-51, established a comprehensive national policy on the continuity of Federal Government structures and operations to ensure the preservation of our form of government under the Constitution and enable a more rapid and effective response to, and recovery from, a national emergency. This Intelligence Community (IC) Directive (ICD) governs the implementation of NSPD-51 by the IC to ensure an integrated approach to the provision of national intelligence to national leaders under all conditions.

2. This Directive rescinds IC Policy Memorandum (ICPM) Number 2007-100-1, Intelligence Community Continuity Programs, 6 October 2007.

C. APPLICABILITY

1. This Directive applies to the IC as defined by the National Security Act of 1947, as amended; and such elements of any other department or agency as may be designated an element of the IC by the President, or jointly by the Director of National Intelligence (DNI) and the head of the department or agency concerned.

2. For IC elements within departments, this Directive is intended to complement departmental policies to the extent practicable.

D. DEFINITIONS

1. Catastrophic Emergency means any incident, regardless of location, that results in extraordinary levels of mass casualties, damage, or disruption severely affecting the U.S. population, infrastructure, environment, economy, or government functions.

2. Continuity of Government (COG) means a coordinated effort within the Federal Government’s executive branch to ensure that National Essential Functions continue to be performed during a catastrophic emergency.

3. Continuity of Operations (COOP) means an effort within individual executive departments and agencies to ensure that Primary Mission Essential Functions continue to be performed during a wide range
of emergencies, including localized acts of nature, accidents, and technological or attack-related emergencies.

4. *Enduring Constitutional Government (ECG)* means a cooperative effort among the executive, legislative, and judicial branches of the Federal Government, coordinated by the President, as a matter of comity with respect to the legislative and judicial branches and with proper respect for the constitutional separation of powers among all branches, to preserve the constitutional framework under which the Nation is governed and the capability of all three branches of government to execute constitutional responsibilities, and provide for orderly succession, appropriate transition of leadership, and interoperability and support of the National Essential Functions during a catastrophic emergency.

5. *Mission Essential Functions* means a broader set of essential functions that organizations must continue throughout or resume rapidly after a disruption of normal activities but are not identified as Primary Mission Essential Functions.

6. *National Essential Functions* means that subset of Government Functions that are necessary to lead and sustain the Nation during a catastrophic emergency and that, therefore, must be supported through COOP and COG capabilities.

7. *Primary Mission Essential Functions* means those Government Functions that must be performed in order to support or implement the performance of National Essential Functions before, during, and in the aftermath of an emergency.

E. POLICY

1. The IC provides timely, insightful, objective, and relevant national intelligence to the President, the Vice President, statutory successors, and other national leaders, as appropriate, wherever they are located and under all conditions. Accomplishment of this shall be supported through individual COOP programs of the IC elements and integrated IC support to COG and ECG.

2. COOP and COG requirements shall be incorporated into the daily operations of the IC and IC elements, and considered in planning, programming, and budgeting activities. COOP and COG activities shall be fully integrated with each other.

3. IC elements shall develop and maintain COOP capabilities to ensure the uninterrupted flow of national intelligence and, through the support of COG, the continuation of National Essential Functions. These capabilities include geographically dispersed IC element leadership (consistent with out-of-area successor requirements), staff, communications, and facilities.

4. Security and counterintelligence risks to COOP and COG programs must be identified, considered and managed. Similarly, risks to operations security (OPSEC) associated with COOP and COG classified and unclassified mission-related information must be identified and managed.

5. Risk management principles shall be applied to ensure that decisions for accomplishing IC continuity objectives are based on the probability of an attack or other incident and its consequences.

6. Situational awareness of current IC operational status, including COOP capabilities and IC support to COG and ECG, shall be maintained.
7. Resilient and interoperable communications shall be established and maintained to support IC continuity capabilities and IC support to COG and ECG.

8. Periodic tests, exercises and evaluations shall be planned, conducted, and supported to ensure the sufficiency of IC continuity capabilities. The IC shall participate in national-level exercises, as appropriate.

F. ROLES AND RESPONSIBILITIES

1. The DNI will:
   a. Oversee and integrate IC continuity activities to ensure that:
      (1) The President, the Vice President, statutory successors, and other national leaders, as appropriate, are provided with timely, insightful, objective, and relevant national intelligence wherever they are located and in all conditions;
      (2) National intelligence is furnished to the National Continuity System; and
      (3) Sensitive Compartmented Information security across the programs and networks of the National Continuity System is appropriately managed.
   b. In coordination with the Attorney General and the Secretary of Homeland Security, produce a biennial assessment of the foreign and domestic threats to the Nation’s continuity of government, in accordance with NSPD-51.

2. The Director, Mission Support Division (D/MSD) of the Office of the DNI, as the DNI’s designee for matters pertaining to IC continuity and IC support to COG and ECG, shall:
   a. Serve as the IC Continuity Coordinator, responsible for coordinating IC continuity activities, in accordance with NSPD-51;
   b. Designate an IC Continuity Manager responsible for facilitating and integrating IC continuity activities in support of COG and ECG;
   c. Maintain situational awareness of IC operational status, including IC COOP and IC support to COG and ECG;
   d. Establish and lead IC continuity fora, and represent IC interests in national continuity fora;
   e. Work with the Assistant DNI (ADNI) and IC Chief Financial Officer, and the ADNI for Systems and Resource Analyses to ensure that COOP, COG, and ECG requirements are considered in planning, programming, and budgeting activities;
   f. In coordination with the ADNI for Acquisition, Technology, and Facilities (AT&F), the ADNI and IC Chief Information Officer (IC CIO), and affected IC elements, identify IC facilities and communications systems that may be used during emergencies to support IC continuity and IC support to COG and ECG;
   g. Review each IC element’s continuity plan;
   h. Provide an annual report to the DNI on the state of IC continuity activities and IC support to COG and ECG; and
   i. Oversee the Intelligence Enterprise Exercise Program in accordance with ICD 103, Intelligence Enterprise Exercise Program.
3. The IC CIO shall:
   a. Represent Community interests in Federal Government continuity communications fora, in coordination with D/MSD;
   b. Verify the accreditation of, and maintain records for, information and communication systems supporting IC continuity and IC support to COG and ECG;
   c. In coordination with the D/MSD, IC element CIOs, and affected IC elements, facilitate Community planning, provisioning, installing, and testing of resilient, interoperable information and communication systems at IC facilities designated for IC continuity or IC support to COG and ECG;
   d. Develop and implement a process for monitoring the status of IC continuity information and communications systems; and for coordinating the defense and restoration of IC continuity information and communications systems as necessary; and
   e. Support the production of the annual report per Section F.2.h, above.
4. The heads of IC elements shall:
   a. Assist the DNI in ensuring that the President, the Vice President, statutory successors, and other national leaders, as appropriate, are provided with timely, insightful, objective, and relevant national intelligence wherever they are located and under all conditions;
   b. Incorporate COOP and COG requirements into the daily operations of their IC element and consider them in planning, programming, and budgeting activities;
   c. Develop and maintain their element’s continuity plan in accordance with Federal Continuity Directive 1, reviewing and updating the plan annually, or sooner as necessary;
   d. Test, train, and exercise their element’s continuity plans annually to evaluate capabilities, determine corrective actions, and identify successful practices;
   e. Designate a Continuity Manager responsible for coordinating their element’s continuity activities, including support for COG and ECG;
   f. Identify to the DNI, through the D/MSD:
      (1) The Continuity Coordinator responsible for their Department or Agency’s continuity activities as designated in NSPD-51; and
      (2) Their element’s Continuity Manager.
   g. Ensure that their element’s Continuity Manager coordinates with the Continuity Coordinator designated for their department or agency pursuant to NSPD-51;
   h. Designate representatives from their element to participate in IC continuity fora;
   i. Participate in and support continuity activities, including tests, training, exercises, and evaluations, as appropriate;
   j. Maintain situational awareness of their element’s operational capabilities and capacity to ensure the uninterrupted flow of national intelligence in the event of a catastrophic incident and, through the support of COG, the continuation of National Essential Functions;
k. Provide the DNI, through the D/MSD, information as necessary to permit the timely determination of the current status of IC COOP and IC support to COG and ECG; and information in support of the annual report identified in Section F.2.h, above; and

l. Provide to the DNI, for review, a copy of their element's continuity plan.

G. EFFECTIVE DATE: This Directive becomes effective on the date of signature.

[Signature]
Director of National Intelligence

[Date]
12 Nov 2013
Date